DFT2014

Density functionals poll	NEWS-ITEM, 2014
Organized by M. Swart, F.M. Bickelhaupt and M. Duran	History and rules:
	The origin of the popularity poll, as it was created after a visit of Matthias Bickelhaupt to the IQCC in Girona 2
	The rules of the poll, and how the poll results are transformed into a measure how the computational chemistry community does 3
	Results:
*ICrea INSTITUCIÓ CATALANA DE RECERCA I ESTUDIS AVANÇATS	Results from the online popularity poll, giving a ranking and a PACO2014 functional
PPPPPPPPPPPPPPPP BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB	Performance of the PACO201x functionals for a variety of diverse chemical interactions
P:::::PP B::::BBBBB::::B E::::::E	Five years DFT poll:
P::::P B::::B B::::B E:::::EEEEEEEEE PPPPPPPPPPPPP BBBBBBBBBB	Summary and Anecdotes 8 What do people think about the poll 9
PressP PresP PresP PresP <td>Appendices:</td>	Appendices:
PPPPPPPPPP Bandwall Base Base Base Base Base Base Base Base	Density Functional Theory in a nutshell 10 References 10
P:::::::P B::::::::::::::::::::::::::::::::::::	"The non-empirical PBE functional remains the clear winner of this year."

List of **density functionals** included in the poll

Primera divisió 2014:

ωB97X-D, B2PLYP, B3LYP, B3LYP-D, B3LYP*, B3PW91, B97-D, BHandH, BP86, CAM-B3LYP, HSE, LC-ωPBE, LDA, M06-2X, PBE, PBE0 (PBE1PBE), PW91, revPBE, RPA, RPBE

Segona divisió 2014:

APBE, BLYP, DSD-BLYP, DSD-PBEP86, τ-HCTH, LB94, LC-PBE, M05, M05-2X, M06, M06-L, mPW1K, OLYP, PW6B95, PWPB95-D₃, revTPSS, revTPSS-D, SAOP, SSB-D, TPSSh, S12g, S12h, MN12L

Origin of the online popularity poll of density functionals

Following a presentation by Matthias Bickelhaupt ("Hypervalent versus Nonhypervalent Carbon", 27. 2. 2009) there was a discussion in Can Paco (the bar at the faculty of Chemistry at the University of Girona). Because the presentation showed the results for quite a number of functionals, Miquel density Duran suggested to take a number of these results, and use appropriate weights for them in order to obtain a "consensus" density functional result. In order to get the weights needed for this procedure, we have held annual online polls where could indicate their people preferences for a number of density functionals. The polls were announced on the CCL list,

on Twitter, Facebook, blogs, etc. in order to get the maximum number of participants. The aims of this poll were: (i) to probe the "preference of the community", i.e., setting up a ranking of preferred DFT methods; and (ii) provide a compilation of the "de facto quality" that this implies for the "average DFT computation". Note that this poll does not cover everybody, only those who were motivated to take part in the poll and vote. Yet, we feel that the results do provide some insight in current preferences. And interestingly, these preferences do not always match with the best choice in terms of best agreement with accurate reference data.



Prof. Bickelhaupt: a regular visitor to Girona



At least 50 research papers have resulted from the collaboration

There is a longstanding collaboration between the research groups of Prof. Bickelhaupt at the Vrije Universiteit Amsterdam (VUA), and the IQCC in Girona. Since 1993, Prof. Matthias Bickelhaupt collaborates with Prof. Miquel Solà (IQCC) and has visited the University of Girona (UdG) every year since 1998 for joint investigations on the chemical bond, DNA, organic reactions, etc. Many members of the IQCC have also gone to Amsterdam for short (3-month) or longer (postdoc) research stays, which has led to a very fruitful collaboration. This has recently been recognized by the rectorates of the VUA and UdG, and is now officially a collaboration between the universities. For the UdG, this is an important component of the Campus of Excellence that was awarded to it in 2011.



Rules for the popularity poll and the PACO functionals

- Points are given similar to football, i.e. a 'like' gives +3 points, 'neutral' +1 points, no answer at all ('Vot en blanc', 'None Of The Above') 0 points, 'hate' -1 points. A ranking of the functionals will be made by taking into account these points.
- 2) In case there are two or more functionals with the same number of points, the ordering will be decided by the following criteria: (i) most number of 'like's, (ii) least number of 'hate's, (iii) results from previous years (for future editions), (iv) year of publication of the functional (the younger, the better), (v) decision by organizers.
- There will be a Primera Divisió with the 20 most popular functionals. At the end of each year, the 5 least popular of the Primera Divisió will relegate to the Segona Divisió.

Each year, only the 20 most popular functionals of the Segona Divisió will be kept. The five most popular ones of the Segona will be promoted to the Primera, while the 15 next will form the Segona for the next year together with the 5 relegated from the Primera.

The other functionals will not take part in the poll for the year after (unless suggested again). There is a maximum of 10 additional suggestions for each year, which are added chronologically (after being suggested by mail to M. Swart).

4) A new PACO functional will be constructed each year, by taking a weighted linear combination of the 20 functionals in the Primera Divisió. For those functionals that do not have an energy expression (e.g. SAOP, LB94), a weight of zero (except for the excitation energies) will be used for the construction of PACO20xx. In particular, the following energy expressions are obtained:

The weight of each functional is given by its number of points, divided by the total number of points of the 20 functionals in the Primera Divisió (using a value of 0 for those without an energy expression, see above). The sum of the weights is therefore one. Note that with these PACO functionals we do not wish to ridicule the development of density functionals, which is hard and painstaking work, and often underestimated. Neither do we intend to mix different functionals for the sake of mixing, in the hope of reducing discrepancies. However, we do wish to help the community by getting a consensus current opinion on the many functionals, which may help the reader choose a functional for his/her own study on chemistry. As mentioned in the introduction, it can also be enlightening to compare the consensus current opinion with the actual performance.

- 5) The PACO20xx functionals will be applied to a small number of typical chemical systems:
 - the AE6 set for six atomization energies (SiH₄, SiO, S₂, propyne, glyoxal, cyclobutane)
 - the BH6 set for six barrier heights (forward and reverse reaction of OH+CH₄, H+OH, H+H₂S)
 - the π - π stacking energy of anti-parallel cytosine dimer
 - spin-state splitting of FeFHOH and Ni(EDT)22-
 - excitation energies (singlet, triplet) of CO
 - the hydrogen-bonding energies of four dimers (ammonia, water, formic acid, formamide)

For all of these coupled cluster CCSD(T) or experimental (reference) data are available.

- 6) Each year, a new popularity poll will be held between June 1 and October 1, and will be announced on <u>www.marcelswart.eu/dft-poll</u>, on the CCL list, etc. and a short news item such as the current one about it will be published.
- 7) The organization of the DFT-poll reserves the right to disqualify density functionals in case of clear proof of cheating. This disqualification remains effective for the year following the year in which the cheating has been observed.

Results of the **popularity poll**

	functional	year	like	neutral	hate	empty	points
Prim	era Divisió						_
1	PBE	1996	99	30	6	26	321
2	PBEO (PBE1PBE)	1996	87	23	10	41	274
3	B3LYP	1994	56	44	33	28	179
4	ωB97X-D	2008	49	21	12	79	156
5	PW91	1992	38	47	13	63	148
6	B3LYP-D	2006	41	38	14	68	147
7	LDA	1980	50	33	37	41	146
8	M06-2X	2008	46	27	31	57	134
9	CAM-B3LYP	2004	39	29	14	79	132
10	BP86	1988	35	33	16	77	122
11	HSE	2003	29	47	12	73	122
12	B97-D	2006	26	39	13	83	104
13	B2PLYP	2006	24	33	18	86	87
14	RPA	2008	22	33	12	94	87
15	B3PW91	1993	21	40	19	81	84
16	revPBE	1998	19	42	17	83	82
17	RPBE	1999	18	34	18	91	70
18	LC-ωPBE	2006	15	37	13	96	69
19	B3LYP*	2001	17	30	23	91	58
20	BHandH	1993	9	33	23	96	37
Segor	1a Divisió						
1	BLYP	1988	30	37	20	74	107
2	TPSSh	2003	21	36	11	93	88
3	M06	2008	29	29	29	74	87
4	M06-L	2006	28	28	28	77	84
5	revTPSS	2009	17	30	10	104	71
6	revTPSS-D	2009	15	26	10	110	61
7	LC-PBE	2007	14	29	10	108	61
8	OLYP	2001	12	35	16	98	55
9	M05-2X	2006	14	27	27	93	42
10	PWPB95-D ₃	2011	10	20	12	119	38
11	mPW1K	2000	7	30	15	109	36
12	SSB-D	2009	8	22	11	120	35
13	DSD-BLYP	2010	7	26	12	116	35
14	PW6B95	2005	7	25	12	117	34
15	LB94	1994	6	24	11	120	31
16	τ-ΗСΤΗ	2002	6	24	13	118	29
17	M05	2005	9	27	26	99	28
18	DSD-PBEP86	2011	5	24	11	121	28
19	SAOP	2000	5	22	10	124	27
20	APBE	2011	3	26	11	121	24
21	S12h	2013	5	18	11	127	22
22	S12g	2013	5	19	12	125	22
23	MN12L	2012	2	11	10	138	7

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Significance of the popularity poll results

The non-empirical PBE functional has again been selected by the "computational chemistry and physics communities" as the most popular functional, before the PBE0 (*the winner of the 2010 and 2011 editions*) and B3LYP functionals. This is the third consecutive year that this GGA functional is beating hybrid functionals in popularity.

The total number of valid entries in the poll decreased, from 194 in 2013 to 161 in 2014 (-17%). This is without any doubt due to the fact that this year SurveyMoz was used as *Online Survey Software* provider, and hence people could vote only once.

The ω B97X-D functional continues its upward march, and is now at the 4th place. This

the popularity of exemplifies range-separated hybrid functionals general nowadays. Five in functionals will be promoted to the Primera Divisió of 2014: BLYP, TPSSh, M06, M06-L, revTPSS, replacing the following functionals: revPBE, RPBE, LC-ωPBE, B3LYP* and BHandH. Of these, BLYP, TPSSh, M06 and revTPSS had been present before in the Primera Divisió and are now returning there after having spent 1 or 2 years in the Segona Divisió.

The composition of the *Primera Divisió* and *Segona Divisió* for the **year 2015** is given on p. 7. The next online poll will, as usual, be held from June 1 until October 1. A third part will be added (see p. 8).



The average number of points increases slightly, from 0.77 to 0.79

Construction of the **PACO2014 functional**

	Wenergy					
	2014	2013	2012	2011	2010	
PBE	0.1299	0.1230	0.1150	0.0936	0.0943	
PBE0 (pbe1pbe)	0.1108	0.1045	0.1044	0.1143	0.1022	
B3LYP	0.0724	0.0709	0.0882	0.0993	0.1010	
ωB97X-D	0.0631	0.0484	_a	_a	_a	
PW91	0.0599	0.0628	0.0730	_a	_a	
B3LYP-D	0.0596	0.0440	0.0507	_a	_a	
LDA	0.0591	0.0675	_a	0.0234	0.0356	
M06-2X	0.0542	0.0400	0.0502	0.0494	0.0612	
CAM-B3LYP	0.0534	0.0430	0.0450	0.0503	0.0507	
BP86	0.0494	0.0534	0.0651	0.0861	0.0695	
HSE	0.0494	0.0524	_a	_a	_a	
B97-D	0.0421	0.0376	0.0537	0.0645	0.0519	
B2PLYP	0.0352	0.0349	0.0415	0.0587	0.0561	
RPA	_b	_a	_a	_a	_a	
B3PW91	0.0340	0.0346	0.0411	0.0517	0.0540	
revPBE	0.0332	0.0490	0.0472	_a	_a	
RPBE	0.0282	_a	_a	_a	_a	
LC-ωPBE	0.0278	_a	_a	_a	_a	
B3LYP*	0.0234	_a	0.0275	0.0305	0.0348	
BHandH	0.0149	_a	_a	_a	_a	
a) not included in (some) earlier e	dition(s); b) not ava	ilable, therefore	not taken into accou	int		

As usual, we prepared a *popularity adapted consensus object*, i.e. the PACO2014 functional. It was obtained by taking the points from the online poll for the *Primera Divisió*, and giving each of the functionals in it a weight corresponding to their points (see Rules on p. 3). These weights are listed here on the left.

With these weights, we have carried out an analysis of the performance for a series of chemical interactions within a set of molecules (shown on p. 6). The results of the PACO201x functionals, together with the best and worst performing functionals, are listed on p. 7.



Check of PACO2014 interactions

	reference	2014	2013	2012	2011	2010	best	worst
AE6 ^{a,b}							M06-2X	LDA
$\overline{SiH_4}$	322.83	318.33	319.57	319.24	320.75	320.29	320.50	344.49
SiO	192.74	189.12	189.80	187.34	187.21	187.35	188.60	219.96
S_2	102.79	106.82	107.72	105.84	105.72	105.88	102.65	132.52
propune	705.06	713.49	715.40	709.25	710.44	711.32	703.86	800.27
gluoxal	633.99	647.49	649.49	641.16	641.18	642.00	632.21	751.15
cuclohutane	1149.37	1160.63	1163.47	1153 70	1156 66	1158.05	1146 74	1302.09
MAD	1110107	7.56	8.51	4.62	5.07	5.66	2.04	73.95
DLLCab								LDA
$\frac{BH6^{a,b}}{OH}$	6 5 4	1 10	1 50	0.14	0.00	0.01	BHandH	LDA
$OH+CH_4$ (fw)	6.54	-1.19	-1.56	-0.46	0.02	0.21	7.41	-16.89
$OH+CH_4$ (rv)	19.61	11.98	11.66	12.01	12.48	12.78	18.22	2.19
H+OH (fw)	10.45	4.64	4.11	3.89	4.06	4.55	9.51	-2.04
H+OH(rv)	12.90	3.19	2.88	4.17	4.76	4.76	12.05	-13.04
$H+H_2S$ (fw)	3.55	-0.27	-0.65	-0.64	-0.47	-0.13	3.49	-6.97
$H+H_2S(rv)$	17.27	12.92	12.71	13.72	13.98	13.88	14.78	-0.31
MAD		6.51	6.86	6.27	5.92	5.71	1.10	17.90
Exc. states COc.d							SAOP	в2рі.ур
$\frac{1}{\Pi} \sigma \rightarrow \pi^*$	8.51	8.34	8.33	8.36	8.38	8.37	8.55	8 59
$1\Sigma^{-} \pi \rightarrow \pi^{*}$	9.88	9.69	9.67	9 71	9 70	9.67	10.03	9.58
$1\Lambda \pi \rightarrow \pi^*$	10.23	10.01	9.97	10.01	10.04	10.05	10.66	9.90
$^{3}\Pi \sigma \rightarrow \pi^{*}$	6 32	5 85	5.85	5.84	5.86	5.87	6.28	5 70
$3\Sigma^+ \pi \rightarrow \pi^*$	8 51	8.02	8.03	8.00	7.98	7.98	8.64	7.41
$3\Lambda \pi \rightarrow \pi^*$	936	8 74	8 75	8 71	8 73	8 74	936	8 33
$\Delta, \pi > \pi$	5.50	0.74	0.75	0.71	0.75	0.74	9.30	0.55
MAD		0.50	0.57	0.36	0.35	0.35	0.10	0.56
π - π stacking ^{a,e}							ωB97X-D	OLYP
Cyt ₂	-9.93	-4.22	-3.93	-3.64	-3.68	-3.66	-9.93	+4.99
MAD		5.71	6.00	6.29	6.25	6.27	0.00	14.92
Spin-states ^a								
FoFHOH	5 4f ??	1313	13 44	13 10	12 15	11 / 2	22	22
Ni(EDT)_2-	>0	5 41	6 59	5 30	12.15	3.40	22	22
MAD	20	??	??	??	4.44	??	??	??
TT 1 1.								
H-bonding ^{a,g}		• • •					M06-2X	OLYP
ammonia	-3.17	-2.84	-2.78	-2.65	-2.62	-2.61	-3.17	-0.56
water	-5.02	-4.97	-4.92	-4.72	-4.71	-4.74	-5.13	-2.40
formic acid	-18.61	-18.96	-18.78	-18.24	-18.36	-18.44	-19.52	-11.40
formamide	-15.96	-15.39	-15.24	-14.81	-14.88	-14.93	-16.01	-8.66
MAD		0.32	0.35	0.58	0.55	0.51	0.27	4.93

a) in kcal mol-1; b) reference data from J. Phys. Chem. A 2003, 107, 8996; c) in eV; d) reference data from J. Chem. *Phys.* **2000**, *112*, 1344 and *J. Chem. Phys.* **2001**, *114*, 652; e) reference data from *J. Phys. Chem. B* **2004**, *108*, 5466; f) from news-item PACO2011; g) reference data from *Phys. Chem. Chem. Phys.* **2006**, *8*, 1985



PRIME	ERA
DIVISIÓ	2015

	B2PLYP
	B3LYP
	B3LYP-D
	B3PW91
	B97-D
	BLYP
	BP86
	CAM-B3LYP
	HSE
	LDA
	M06
	M06-2X
	M06-L
	PBE
	PBE0
	PW91
	revTPSS
	RPA
	TPSSh
	SEGONA
D	IVISIÓ 2015
	APBE
	B3LYP*
	BHandH

- RPBE

Summary of five years of DFT polling

After having organized the DFT poll for five years, it is time for some reflections on the outcome of the results and the status of the poll.

From the beginning we set the poll out to be anonymous. This would have two main advantages: (i) anyone willing to participate could do so easily, without having to pass through a complicated setup where one would have to register etc.; (ii) the participants would be able to give their preferences without having to fear negative feedback. To this day, it still works like that: the organizers do not know who are the participants, nor do they want to know. The only data known to the organizers are: the preferred functionals, and the date that this preference was given. Unfortunately, in the 2013 edition the organizers were forced to exclude one functional because of attempts to bias the outcome of the poll through repetitive single-answer entries. Therefore, the 2014 edition was taken using the SurveyMoz online software.

This year (2014) there has been a vivid discussion on the CCL list about the poll (see p. 9). One of the positive outcomes was a suggestion by Henry Rzepa (June 1, 2014) "that in the future this poll be extended to indicate WHY any person indicates they LIKE a functional? As we all know, the choice of functional so often depends on what the property of interest is".

The organizers investigated whether this would be possible technically (answer: yes), and therefore have decided to adapt the poll for this suggestion. Starting from the sixth edition in 2015, the poll will have a third part (next to the *Primera* and *Segona Divisió*) where for each functional one can indicate whether the participants like or dislike it for certain properties (*reaction barriers, normal modes, NMR shieldings, structures, spin-state splittings, etc.*).

In the future this might be extended with a fourth part where the preferences for dispersion energy descriptions could be given.

Anecdotes of five years of DFT polling

At the end of the first year we were not sure how to report the results. Obviously, this was not to be a regular science paper, but after long deliberations we thought it might enter the "News" items of a computational chemistry journal. The reviewers were however not so enthusiastic:

<u>Reviewer 1</u>: "The topic of this paper is interesting, but the methodology must have some dreadful weakness. I talk to many quantum chemists all over the world every year. For most of them PBE0 is not even on the radar. [...]" (see Figure on the right; it is interesting to note how the number of citations for PBE0 increased by ca. 60% in the year after it "won" the first poll)

<u>Reviewer 2</u>: "This paper is a bit hard to judge. [...] It is a rather unique

contribution ("one of a kind") that presents an unorthodox way of deciding on the merits of density functionals, and of constructing a good compromise functional. From a purist point of view, one might deplore such an approach: science is not a democracy. The truth is not decided by public vote! [...] On the positive side, one might feel that computational chemists just need good functionals to study chemistry, and this contribution helps them to get an idea of current opinion on the matter. [...] It is original, but it should not be followed by many of such 'polls'. [...]"

In the end, it was not accepted for publication; instead we decided to publish the results online in an annual news-item (available at www.marcelswart.eu/dft-poll).



Normalized number of citations for PBE0 papers, **before** (2006-2010) and **after** (2011-2013) first newsitem of DFT-poll [100 = average for 2008-2010]

What do people think about the poll

The enormous interest in the DFT poll becomes obvious every year in the first few days after the annual news-item has been published online: typically 400-600 visitors a day have a look at the results in the first week. Note that this is a multitude of the actual number of participants in the poll.

This year's announcement of the DFT poll on the CCL mailing list was followed by a vivid discussion about its merits cq. its flaws. Some researchers "dislike strongly the idea of deciding the use of a functional by popularity"^[1], others "see this popularity contest on DFT functionals as no more than a social media tool such as 'what are your colleagues reading these days?'"^[2] or "think it's useful because if [they] see a functional that [they] don't know about used widely, [they're] going to investigate it. (Note not blindly use it, but investigate it.)".[3] In order to stress the motivation for holding the poll, we as organizers felt we needed to add a statement as well,[4] since we "are simply monitoring what happens in the field of DFT and comment on how the choice of the community differs from (or agrees with) reliable reference data. In

Henry Rzepa (Sept. 14, 2014): "I still think the context of any vote cast is absolutely crucial. Perhaps what the community needs to develop is a public set of conformance test sets of molecules, one for each type of property?"

Gustavo Scuseria (Sept. 14, 2014): "I am not in favor or against the poll. It is interesting though that we need a contest to determine what is popular and useful. A cacophony of functionals have mushroomed in recent years, and I am very much afraid that uncontrolled approximations and rampant empiricism have taken over DFT".

Andreas Savin (Sept. 14, 2014): "I must shamefully confess that I do not know about the DFT popularity poll."

Andreas Savin (Sept. 17, 2014;

after having received more information): "I will not participate, as this poll is intended for people who apply DFT, and I do little in this direction, but I find it interesting. I am amused to see that B3LYP is not as popular as generally believed, and LDA has such a high rank. How does it compare to the number of citations?".

John Perdew (Sept. 14, 2014): "The DFT popularity poll is somewhat like citation analysis: It measures (but in a different way) how well a functional has been received by a set of readers and There are many reasons users. why some functionals are received better than others: accuracy, reliability, wide applicability, computational efficiency, wellfounded construction, availability in standard codes, reputation of

that way, we do exactly what should be done, namely 'drive science through evidence and logic' or maybe even 'drive science back to evidence and logic' (because, against all basic principles of science, the community often just follows blindly a fashion)".

Apart from how the poll may help novice researchers getting a grip on which functionals might be useful for their studies, one message described the other side of the poll as well: "Yes, it is not scientifically sound, epistemologically correct, platonically unsullied. But at least it is fun. We should appreciate fun in chemistry".^[5]

References:
1] www.ccl.net/cgi-bin/ccl/message-new?2014+06+01+003
2] www.ccl.net/cgi-bin/ccl/message-new?2014+06+02+005
3] www.ccl.net/cgi-bin/ccl/message-new?2014+06+02+008
4] www.ccl.net/cgi-bin/ccl/message-new?2014+06+02+013
5] www.ccl.net/cgi-bin/ccl/message-new?2014+06+03+011
6] S. Bachrach, WIREs Comput. Mol. Sci. 2014, 4, 482-487
7] www.ccl.net/cgi-bin/ccl/message-new?2014+06+02+014

Below we highlight some comments made by experienced researchers in the field who we asked for their opinion, and whether they are in favor or against the poll.

> the functional and its authors, historical priority, novelty, and even hype. The poll has to be seen as measuring all these things, and perhaps more. To the extent that the polled scientists use rational criteria, the results of the poll can point other scientists toward good or interesting functionals".

> Steven Bachrach (Sept. 15, 2014): "Please feel free to quote me from the WIRES article and from CCL". WIRES: "It would be nice if we could somehow again reach regarding a some consensus uniform standard computational method that experts and nonexperts could rely upon for most situations. [...]".^[6] CCL: "I also think the poll has value in discerning trends, especially new functionals to appear on the list and ones that have fallen down or off".[7]

Density Functional Theory in a nutshell

In 1964, Hohenberg and Kohn published theorems that laid the basis for density functional theory (DFT). Together with the Kohn-Sham scheme published a year later in 1965, these form the basic framework of DFT. In these papers, it was shown that there exists a one-to-one relation between the energy and density, i.e. it is in principle possible to obtain directly the exact energy from the electron density. But, the mathematical formulation that delivers this energy is unknown, although it can be constructed numerically from an exact (accurate) wavefunction

for a concrete system. It was not until the 1980s that the first reasonable approximations were proposed. Apart from the Local Density Approximation (LDA), Generalized the Gradient Approximation (GGA), hybrid functionals containing a portion of *exact* (Hartree-Fock) exchange, meta-GGA functionals, double hybrid functionals, local hybrid functionals, and the hybrid meta-GGA functionals, there are now also the range-separated hybrid functionals.

In 1998, Walter Kohn received the Nobel prize in Chemistry for his work on DFT.



There exists a one-to-one relationship between the electron density and the <u>exact</u> energy.

"the total electron density defines the number of electrons in the system; the cusps in the density define the nuclear coordinates; the derivative of the density at a cusp defines the nuclear charge at that cusp and thus the configuration of the elements; therefore, the system is fully defined" (Bright-Wilson, 1965)

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